



Scuola Nazionale SIRAD  
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## EFFETTI DA EVENTO SINGOLO (SEE): UN'INTRODUZIONE

Alessandro Paccagnella  
[paccag@dei.unipd.it](mailto:paccag@dei.unipd.it)



DIPARTIMENTO DI INGEGNERIA  
DELL'INFORMAZIONE – Università di Padova  
via Gradenigo 6B, 35131 Padova, ITALY

# OUTLINE

- Introduction
- Charge generation by an ionizing particle in Si and SiO<sub>2</sub>
  - LET
  - Collection mechanisms
  - Recombination
  - Simulations
- Single Event Effects
  - Sources
  - Classification
  - Cross section
- Conclusions

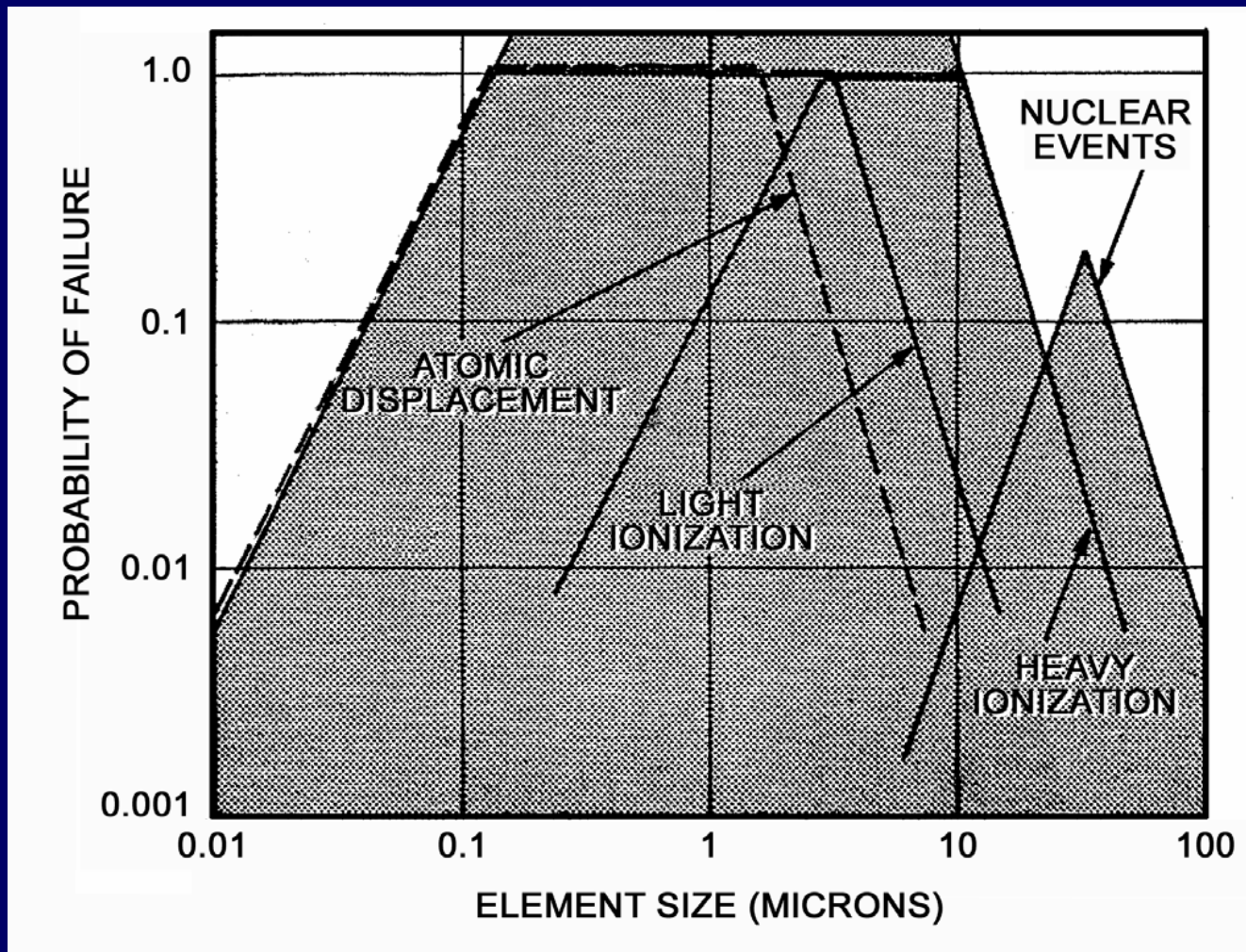


# News reports

- **Single Event Effect (SEE)**: perturbation of the behavior of electronic (optoelectronic) devices, circuits and/or systems produced by a single ionizing particle
- *“SRAM soft errors cause hard network problems”*  
Anthony Cataldo 08/17/2001
- *“Soft errors a problem as SRAM geometries shrink”* Jeanne Graham  
01/28/2002
- *“Strategy for reducing soft errors is needed”* Mark-Eric Jones, 8/27/ 2002



# Early warning of SEE's at sea level



*J.T. Wallmark and S.M. Marcus, Proc IRE, 1962*



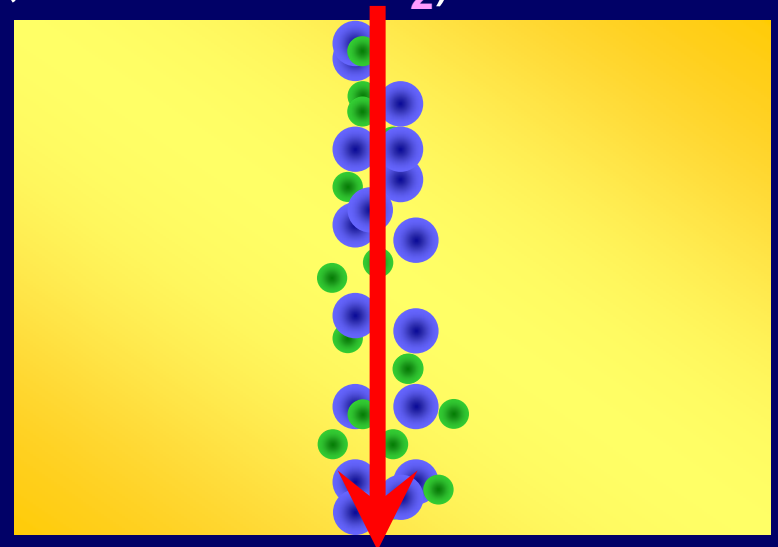
# Charge generation

- An ionizing particle generates a (dense) track of electron-hole pairs in semiconductors (**Silicon**) and dielectrics (**SiO<sub>2</sub>**)
- The number of generated carriers is proportional to the particle **Linear Energy Transfer (LET)** coefficient (MeVcm<sup>2</sup>/mg), i.e., the energy loss/unit path length (Energy / e-h pair: **3.6 eV in Si**, **17 eV in SiO<sub>2</sub>**)

Ion track

hole ●

electron ●

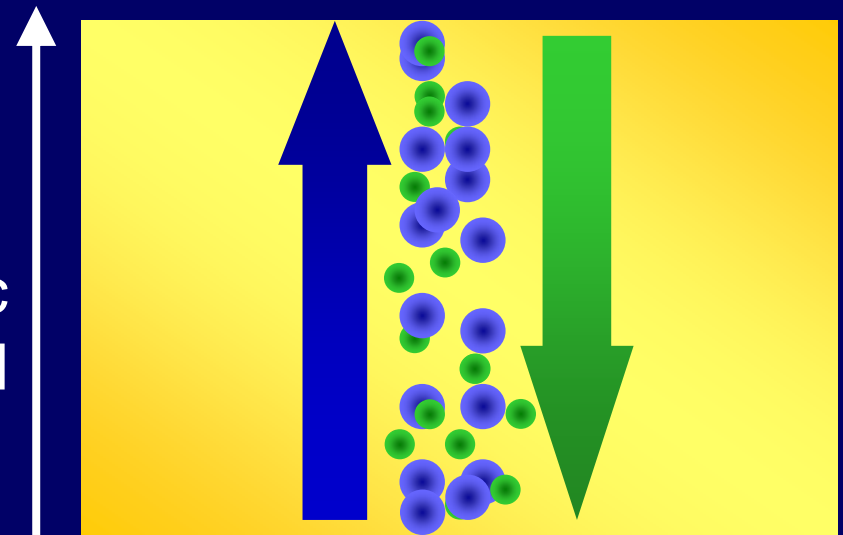


# Charge generation and collection

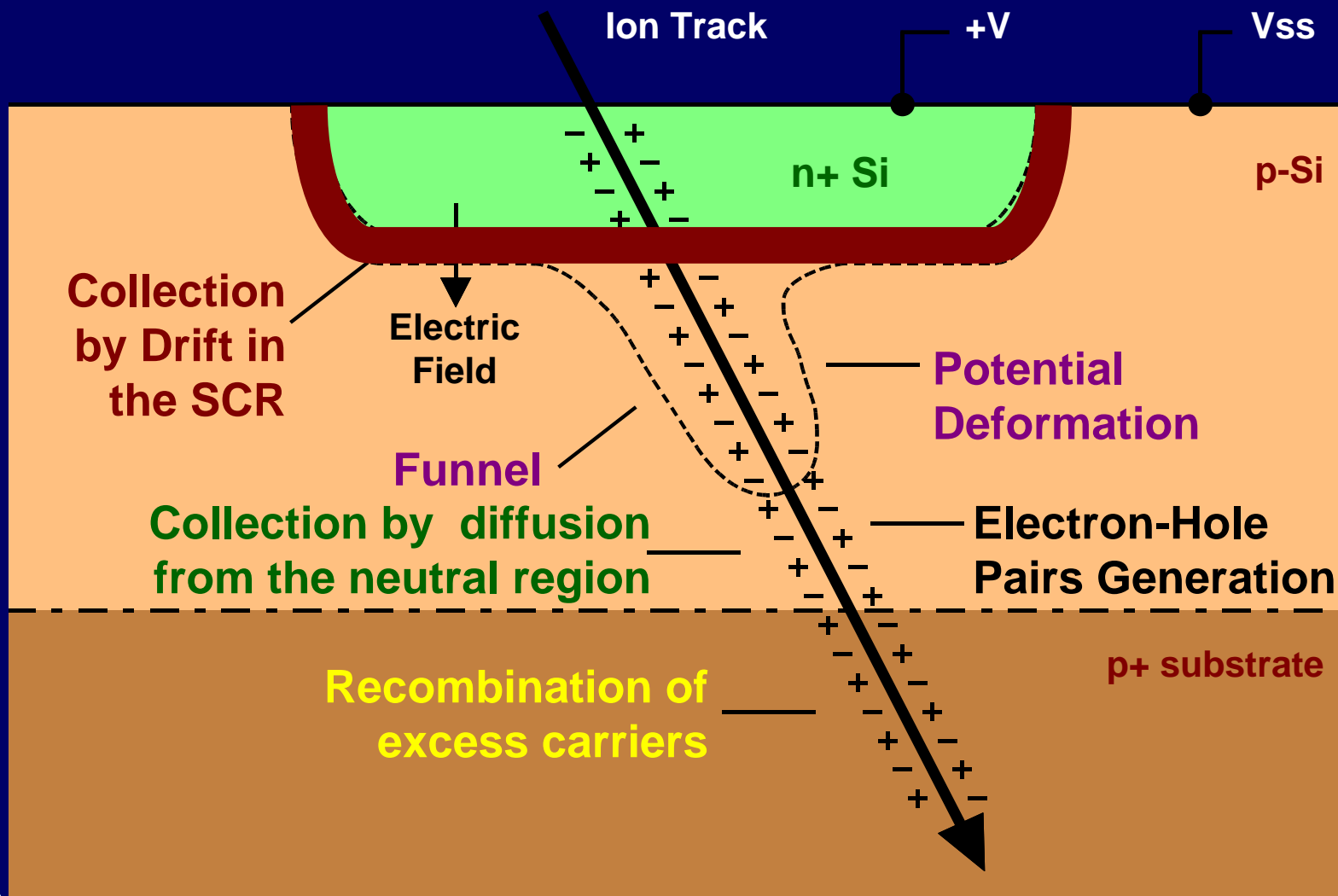
- Under an external electric field the two columns of carriers recombine and drift: many electrons and holes survive in Si, fewer in SiO<sub>2</sub>
- Eventually, a net negative/positive charge can be collected at sensitive nodes

hole ● electron ●

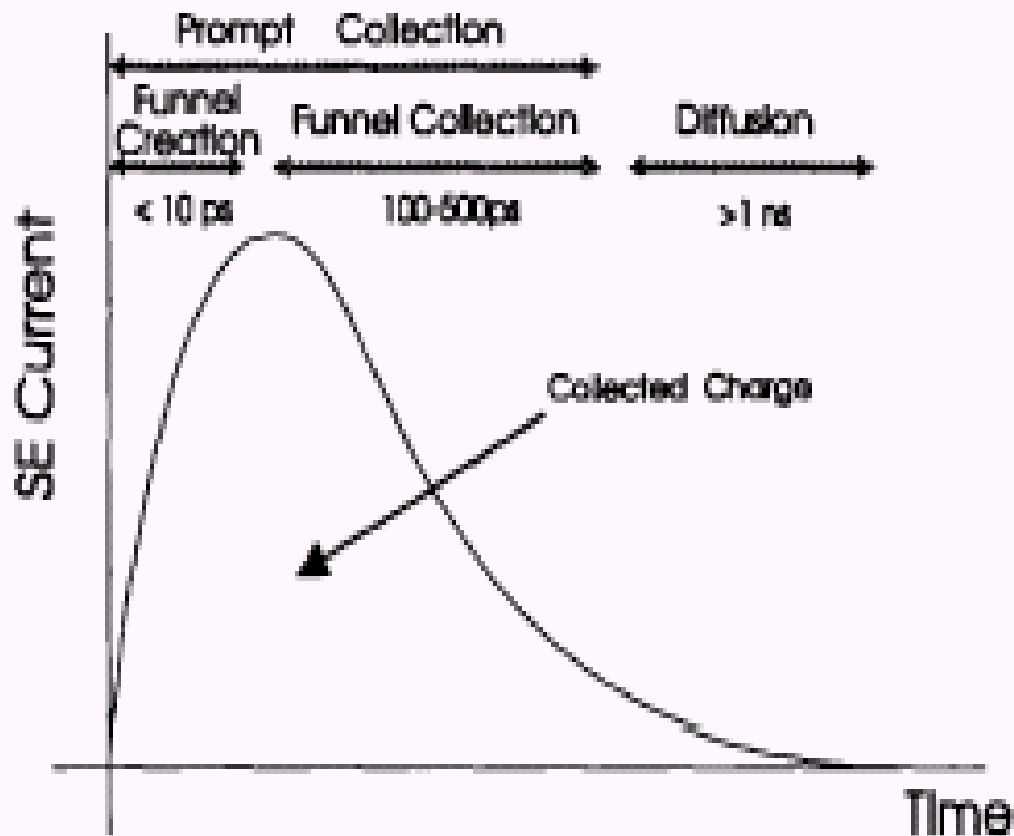
Electric field



# Charge collection in a reverse biased p-n junction



# Time evolution of charge collection



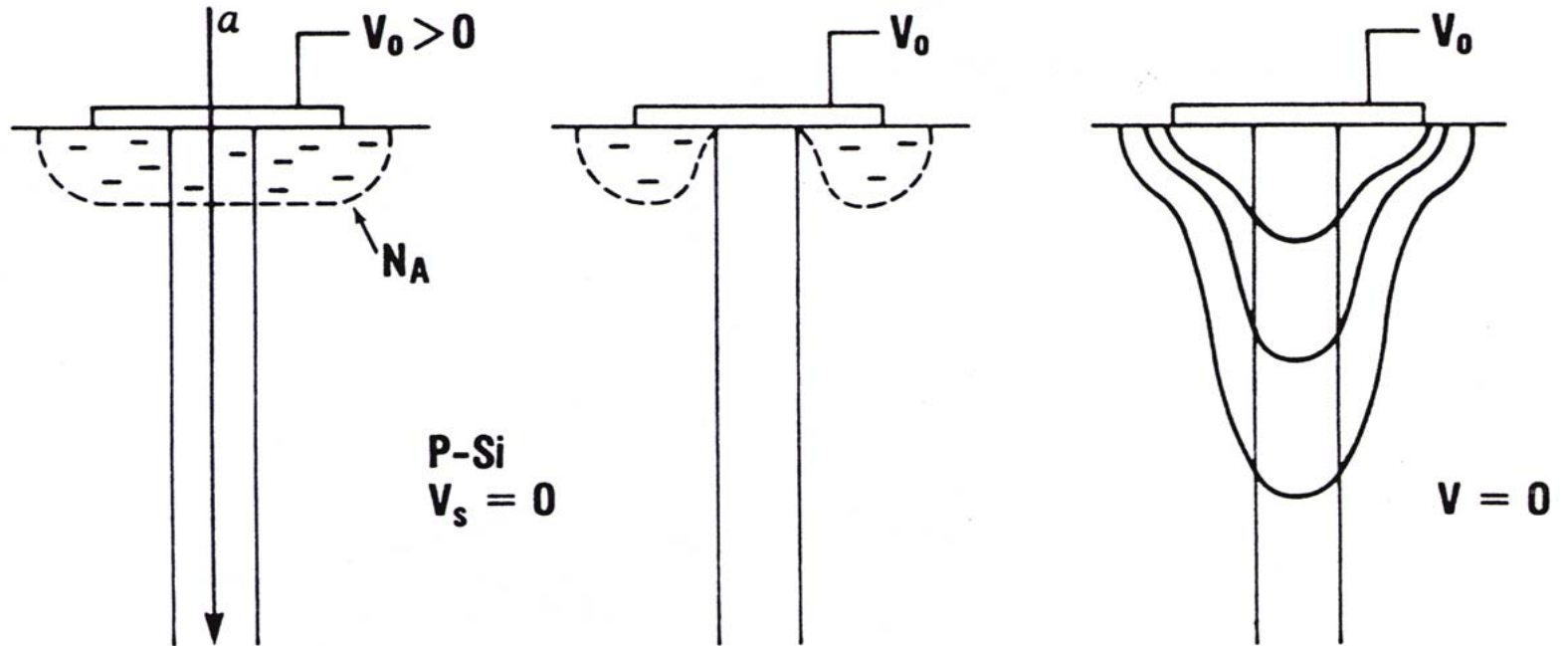
- The classical view: three stages of charge collection: **drift, funneling, diffusion**
- However, contacts are too small to sustain funneling in highly scaled CMOS...
- ...and highly doped buried layers (retrograde wells) reduce charge diffusion

*T. Oldham, NSREC Short Course, 2003*





# Charge funneling mechanism



(a)  $a$  - PARTICLE STRIKE  
( $t = 0$ )  
 $n = p \gg N_A$

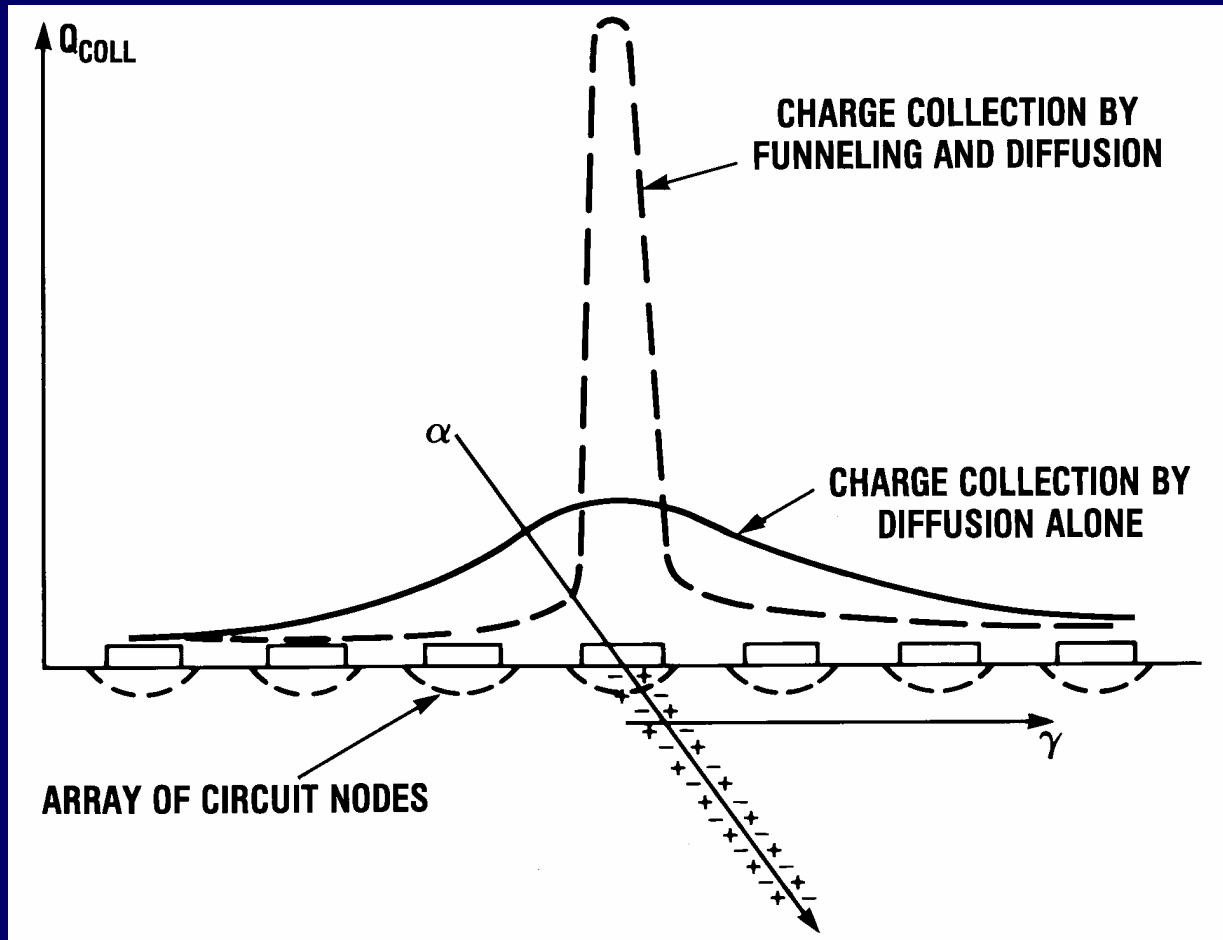
(b) JUNCTION DEPLETION  
LAYER NEUTRALIZED  
( $t > 0$ )

(c) EQUIPOTENTIAL LINES  
EXTENDED DOWN  
ALONG TRACK

*F.B. McLean and T.R. Oldham, IEEE-TNS29, 1982*



# Charge collection across circuits

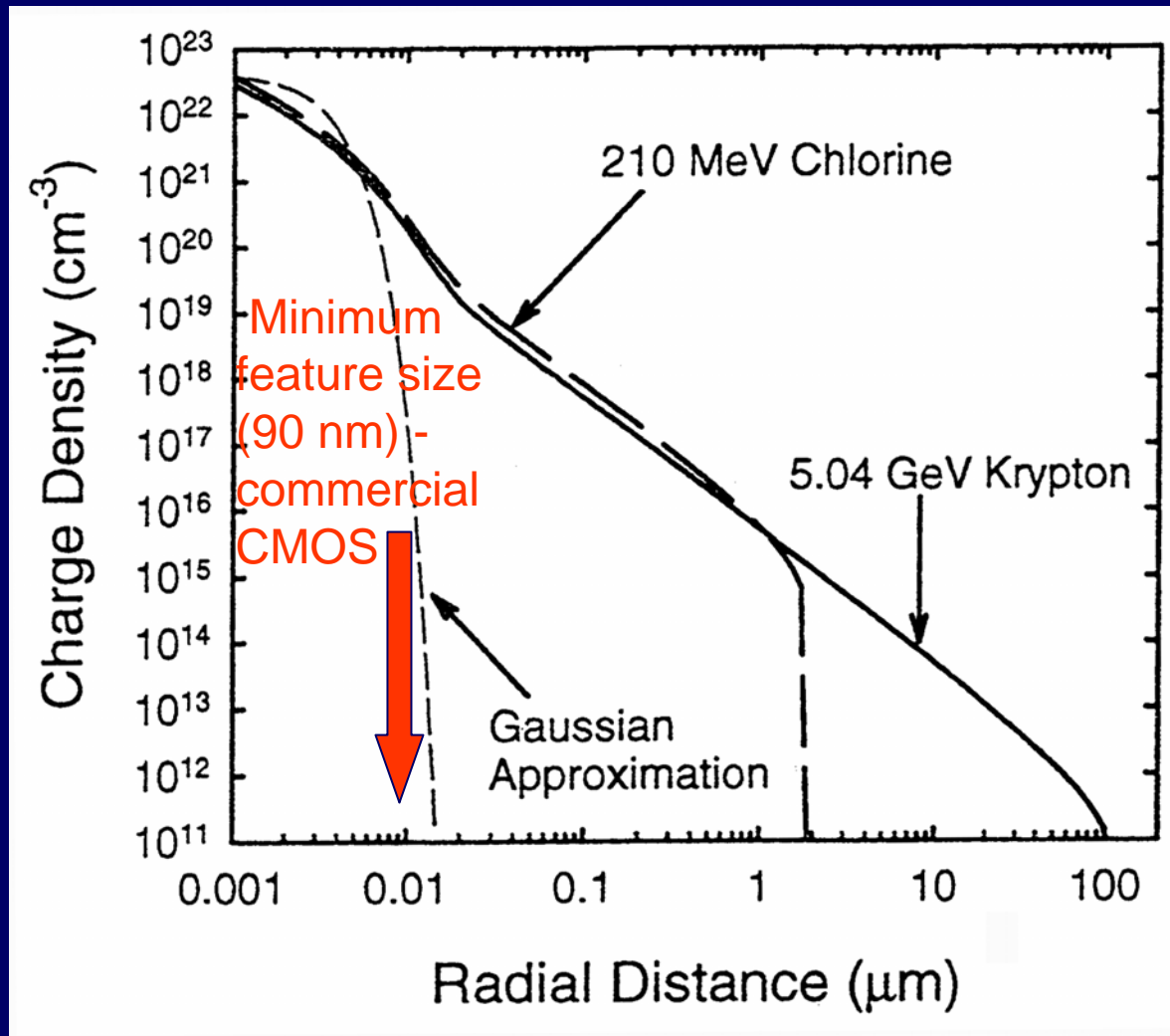


- “Traditional” view of charge collection in Si circuits fabricated with relaxed CMOS technologies
- Only particles at low impact angles may affect different devices, if diffusion charge collection is excluded

*T. Oldham, NSREC Short Course, 2003*



# Calculated e-h track structure in Si

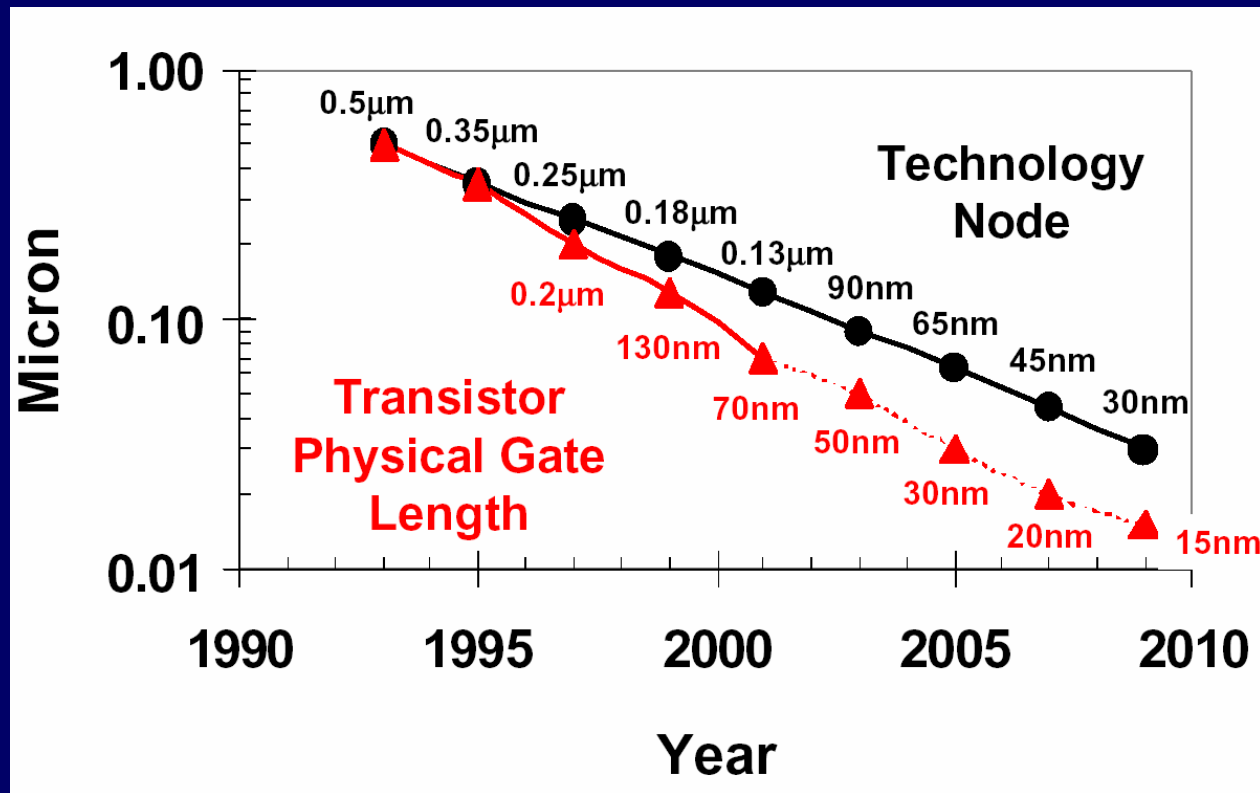


*P. Dodd, et al., IEEE-TNS45, 1998*



# Moore's Law

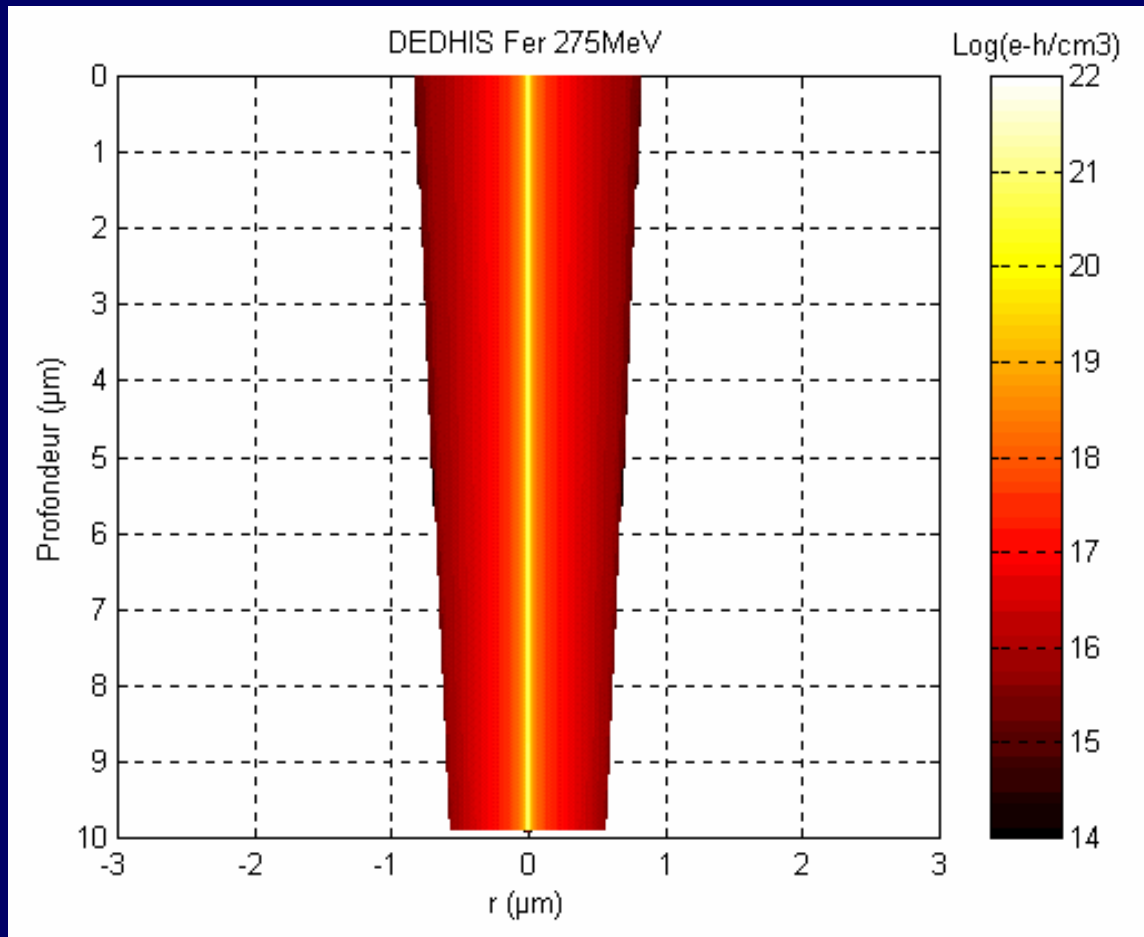
Moore's law is (self-)validated by reducing the device dimension over the years, by scaling down the **minimum feature size** of the CMOS **technology node**



Source: INTEL



# Simulated heavy ion e-h track in Si



Fe ions 275 MeV  
LET=24 MeVcm<sup>2</sup>/mg

LET metrics in Si:

1 MeVcm<sup>2</sup>/mg



$6.4 \cdot 10^4$  e-h pairs/ $\mu$ m



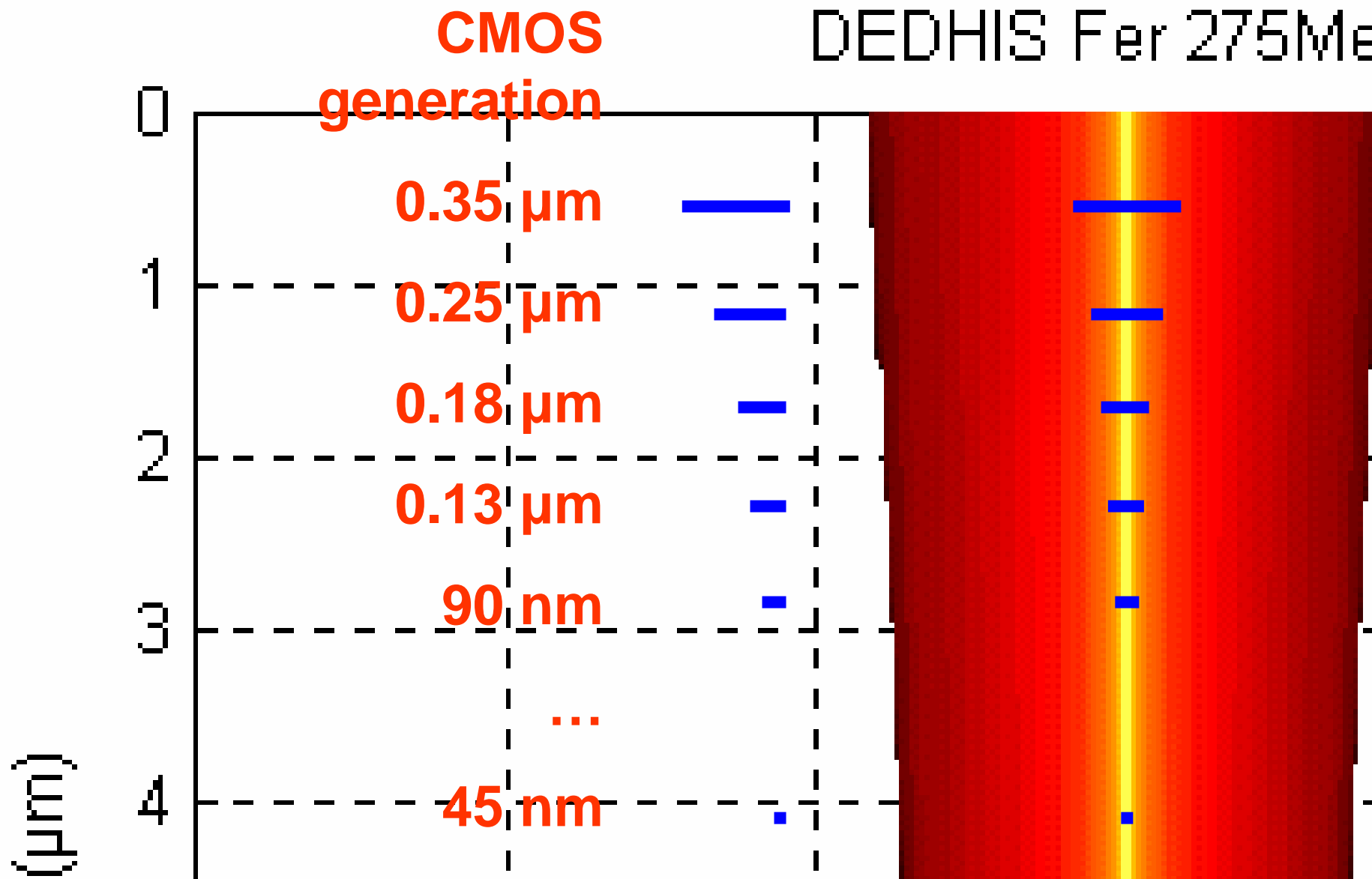
10 fC/ $\mu$ m

Electron-Hole density (cm<sup>-3</sup>)

*P. Foulliat, EWRHE 2004*



# Heavy ion e-h track in Si vs. CMOS minimum size

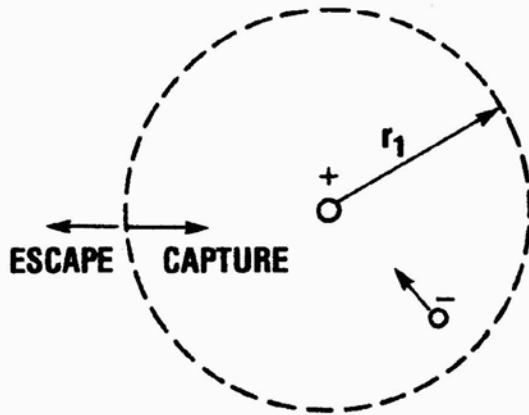


# Ion e-h tracks in SiO<sub>2</sub>

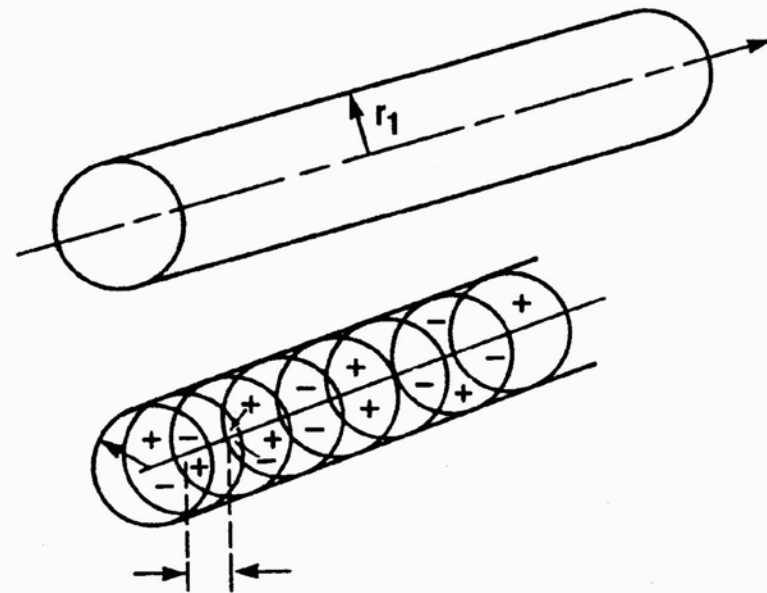
- Column radius smaller than in Si because of carrier energy loss due to optical phonons
- Gaussian distribution with  $b=3.5$  nm usually assumed
- Fewer e-h pairs than in Si because of greater pair production energy (17 eV vs. 3.6 eV)
- Much greater recombination than in Si
- Detailed track structure calculations (similar to those presented for Si) not yet available
- Models available in literature developed in thick ( $>20$  nm) oxides, not updated to current MOS gate oxides that are few nm's thick: for instance,  $t_{\text{ox}}=2.3$  nm for the 0.13  $\mu\text{m}$  CMOS technological node



# Recombination models in SiO<sub>2</sub>



**(A) GEMINATE  
RECOMBINATION  
MODEL (SEPARATED  
ELECTRON-HOLE PAIRS)**

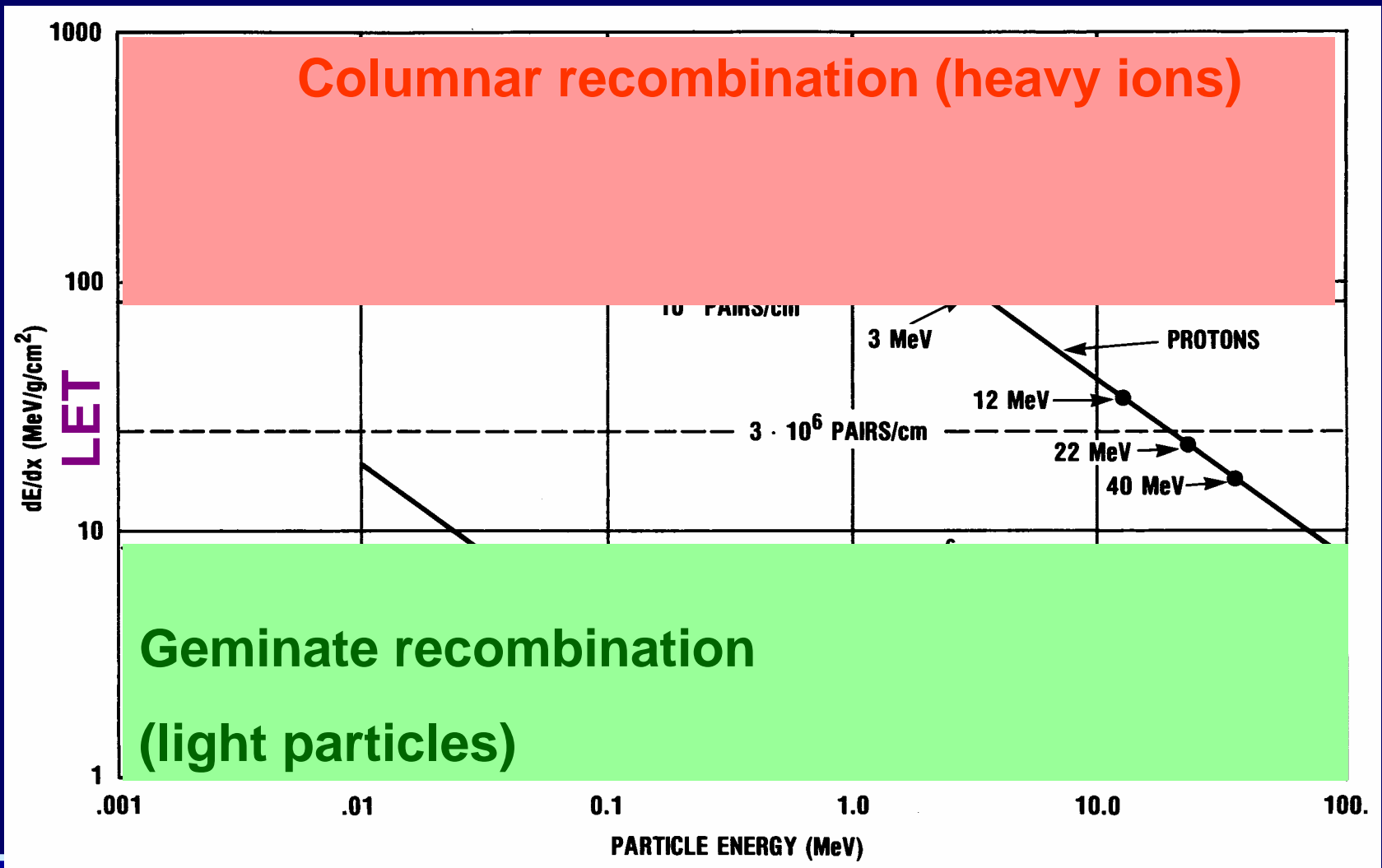


**(B) COLUMNAR RECOMBINATION  
MODEL (OVERLAPPING  
ELECTRON-HOLE PAIRS)**





# LET in SiO<sub>2</sub> and recombination mechanisms



*T. Oldham and J. McGarrity, IEEE-TNS30, 1983*



# Columnar recombination: a classical problem

$$\frac{\partial n_{\pm}}{\partial t} = D_{\pm} \nabla^2 n_{\pm} \mp \mu_{\pm} E \sin \phi \frac{\partial n_{\pm}}{\partial x} - \alpha n_{+} n_{-} \quad (1)$$

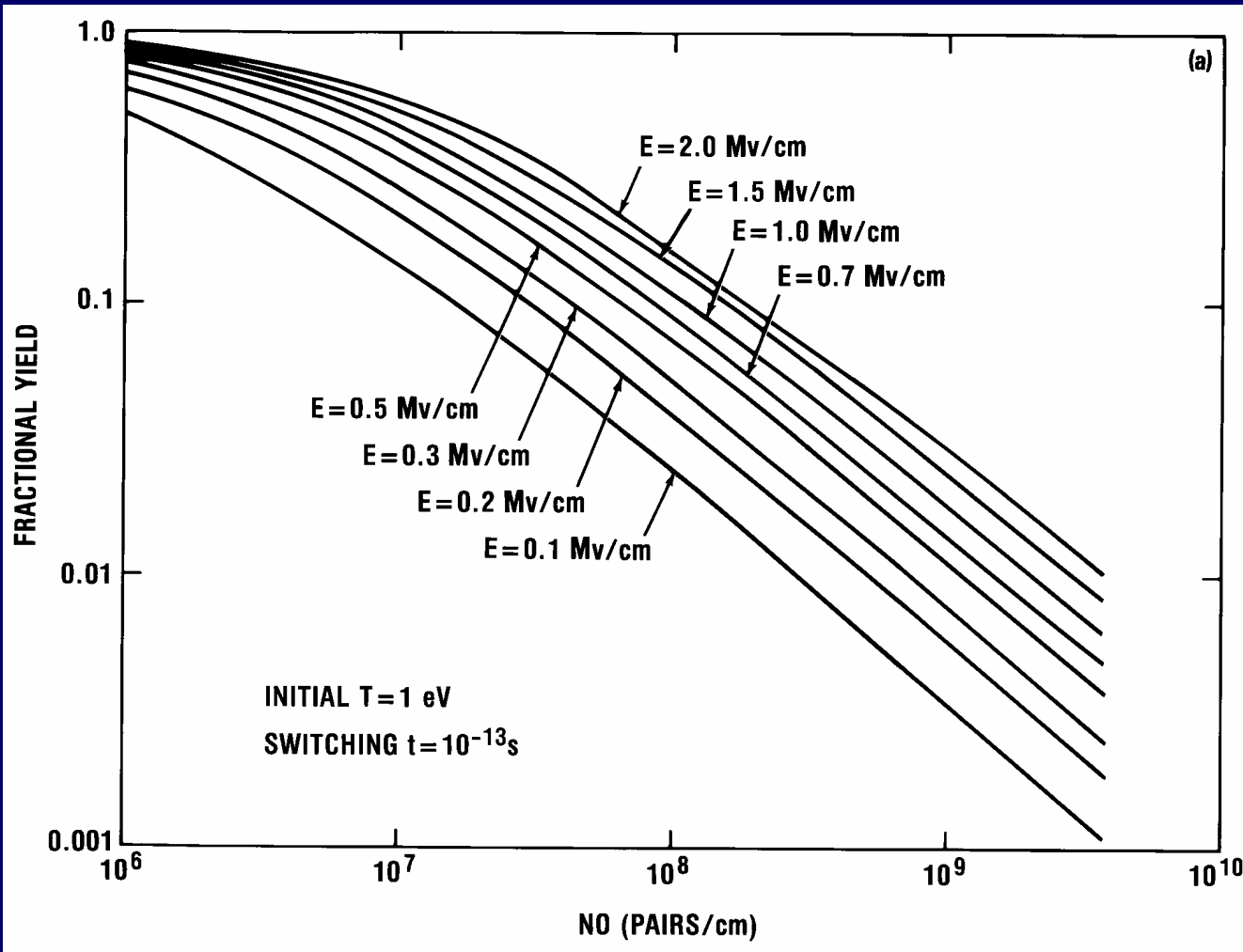
$$n(\vec{r}, 0) = \frac{N_0}{\pi b^2} e^{-r^2/b^2} \quad (2)$$

$$Y = \left[ 1 + \sqrt{\frac{\pi}{2}} \frac{N_0 e}{4\pi \epsilon_0 b E \sin \phi} \right]^{-1} \quad (3)$$

*G. Jaffe, Ann. Phys., 1913*



# Calculated yield in thick oxides



- In  $\text{SiO}_2$ :  
 $1 \text{ MeV cm}^2/\text{mg}$



$1.3 \cdot 10^4$  e-h  
pairs/ $\mu\text{m}$

- For heavy ions  
the percentage of  
carriers surviving  
recombination  
(**yield**) may be as  
low as 0.1%



*T. Oldham, J. Appl. Phys. 87, 1985*

# Charge transport in SiO<sub>2</sub>

- In thick oxides (>20 nm), after the first generation-recombination phase the surviving electrons are rapidly swept out by an external electric field
- The remaining holes moves slowly in SiO<sub>2</sub> via hopping transport (*dispersive transport*) under the effect of an external electric field, eventually reaching the cathodic interface with Si where they can be trapped at local defects
- In thin gate oxides (few nm's) both electrons and holes may rapidly escape from the oxide in short time (ps)
- Charge transport in gate/field oxides is usually considered to be not effective in promoting SEE's, but things may change in CMOS technologies with thin dielectrics...

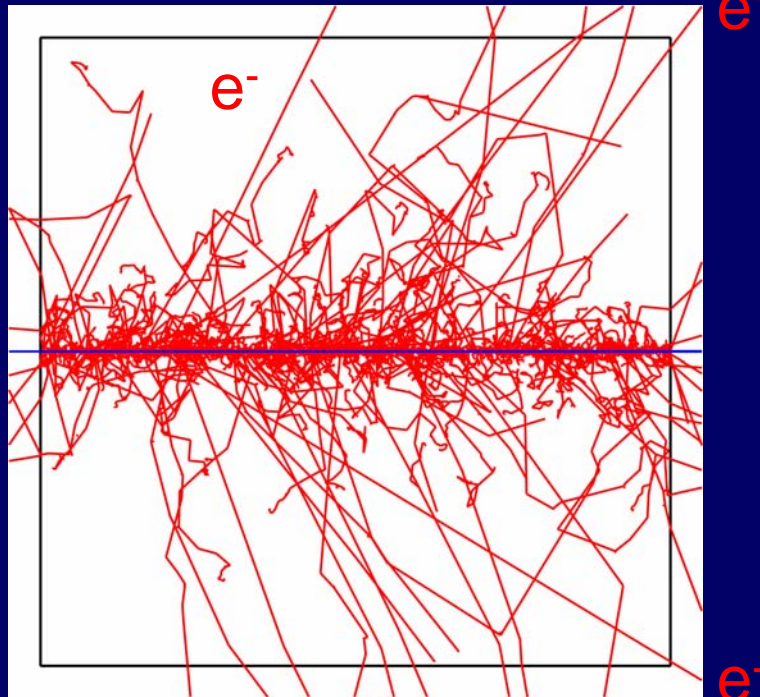


# Myth of the average event

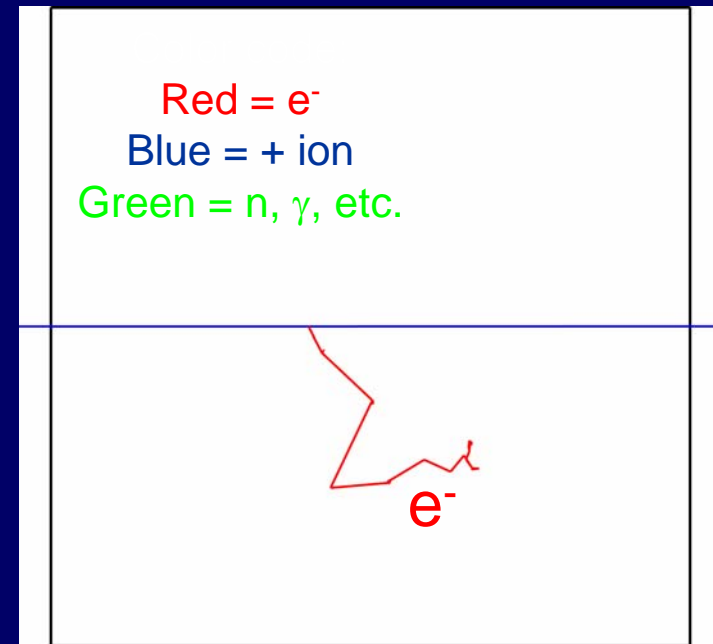
- Simulation of Single Event Effects may start from the analysis of the impact of a single particle on a single device, i.e., a MOSFET
- Deviating behaviors may occur (*"Myth of the average event"*)!

100 MeV p  
on Si

R. Schrimpf,  
EWRHE  
2004



Average: Track with apparent radial structure,  $\Delta E \approx 1$  keV

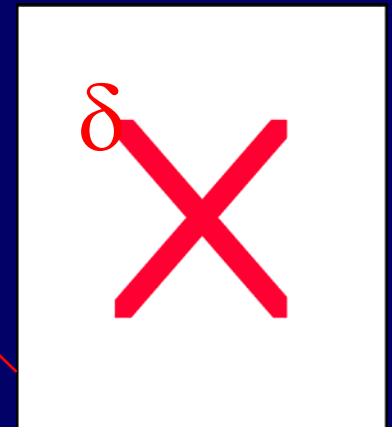
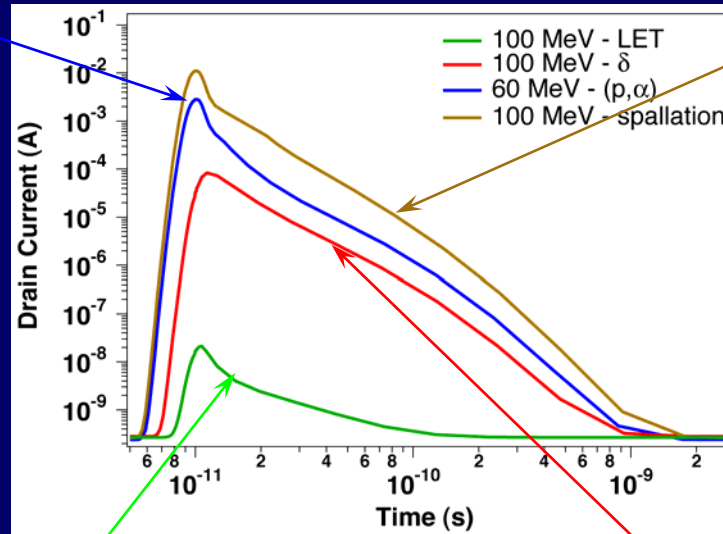
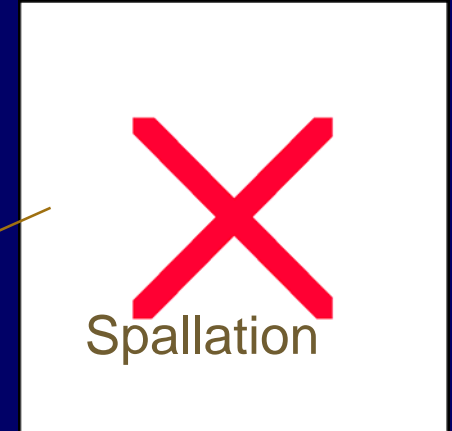
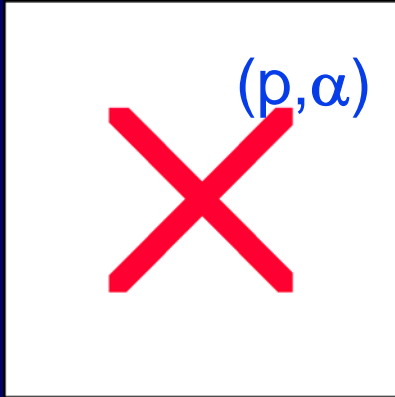


Single event: Proton +  $\delta$ -ray  
 $\Delta E = 7.8$  keV



# Simulation of a MOSFET response

R. Schrimpf,  
EWRHE  
2004



Drain current versus time for various events



# Current interests in SEE's

- Single event effects (SEE) on electronic devices are produced by a single ionizing particle, such as alpha's from radioactive decay or cosmic rays
- Historically, this problem has been faced by institutions coping with radiation harsh environments:

Space/defense/high energy physics/nuclear power/...  
(NASA, ESA, Sandia, CERN, Fermilab, CEA,...)



Avionic (Boeing, Lockheed, ...)

- More recently the SEE issue has been seriously investigated for its reliability implications even at sea level in everyday life by:
  - Semiconductor *companies*: IBM (since '80s), Intel, STMicroelectronics, TI, Infineon,...
  - Semiconductor IC *customers*: less prone to show their interest



# Sources of Single Event Effects

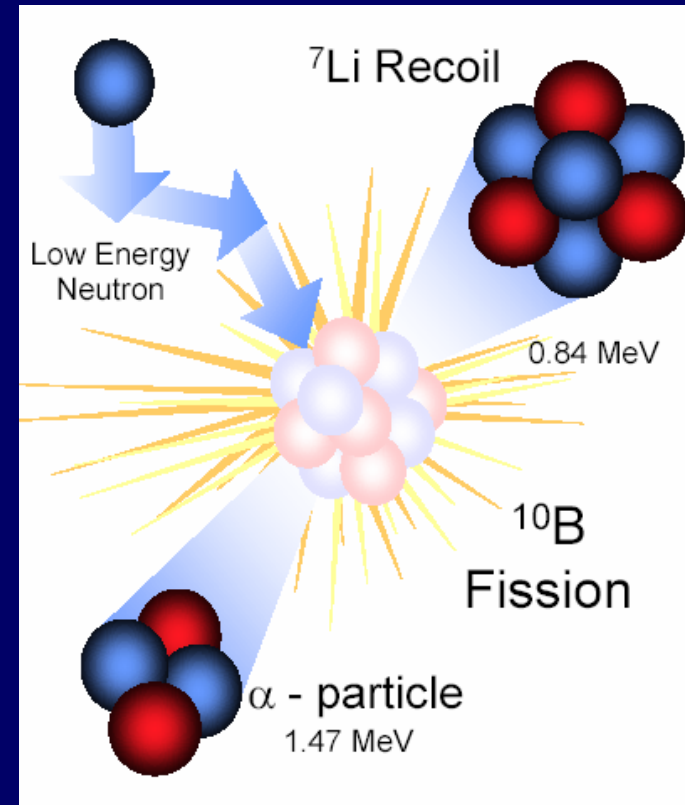
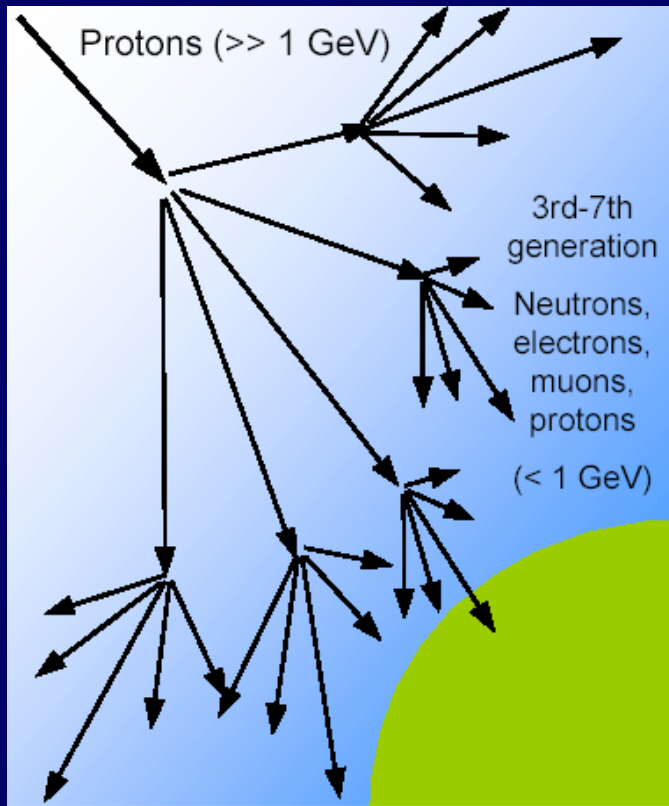
- Space applications:
  - High-energy heavy ions
    - Long range in Si, large LET, direct interaction
  - High-energy protons (trapped, solar, cosmic)
    - Direct / Indirect interaction through nuclear reactions
- Terrestrial and avionic applications:
  - High energy neutrons (cosmic ray byproducts)
    - Indirect interaction through nuclear reactions
  - Low energy neutrons (thermal)
    - Indirect interaction via  $^{10}\text{B}$  nuclear reaction
  - Alpha particles from radioactive decay of contaminants in the chip/package/solder
    - Short range in Si, small LET, direct interaction





# Single Event Effects even at sea level

- High energy neutrons
- Low energy neutrons



# Alpha particle emission rates

Material	Emission rate ( $\alpha/\text{cm}^2\text{-hr}$ )
Bare Si	0.00020
Plastic (epoxy)	0.00080
Ceramic lid A	0.15
Ceramic lid B	3.10
Ceramic DIP A	0.02320
Ceramic Dip B	0.03230
Ceramic Dip C	0.02610
Plastic DIP A	0.00109
Plastic DIP B	0.00124

*L. Lantz, IEEE-TR45, 1996*



# Critical charge and threshold LET

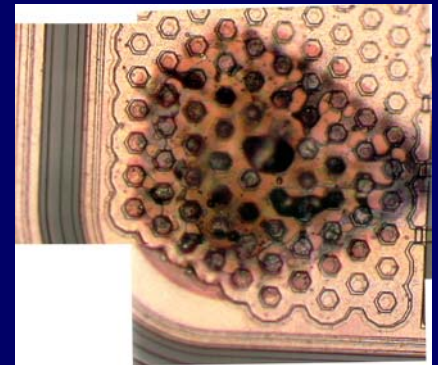
- The ionizing particle generates electron-hole pairs along its track, producing in turn a transient current pulse in the circuit at random (unpredictable) instants
- If the charge collected by a sensitive node of the device/circuit is larger than the **critical charge** required to start an anomalous behavior, an **effect** may be seen affecting the electrical performance of the device/circuit:
  - **Soft errors**
  - **Hard (destructive) errors**
- The critical charge corresponds to a particular LET value, that is over a minimum **threshold LET** value required to start the SEE (but the **incidence angle** of the particle plays a fundamental role!)
- The severity of the effect on the device/circuit depends on:
  - pulse/charge intensity
  - type of effect
  - system criticality



# Classification of SEE's

- **Non-destructive (soft errors):**
  - Single Event Transient (SET)
  - Single Event Upset (SEU)
    - Single Bit Upset (SBU)
    - Multiple Bit Upset (MBU)
  - Single Event Functional Interruption (SEFI)
  - Single Event Latchup (SEL or SELU)... *may be also destructive*
- **Destructive (hard errors):**
  - Single Event Burnout (SEB)
  - Single Event Gate Rupture (SEGR)
  - Stuck Bits

*Destructive event  
in a COTS 120V  
DC-DC Converter*



*K. LaBel, EWRHE 2004*



# SEE countermeasures

Single Event Effects in devices/circuits can be **mitigated** by using different strategies at different levels. For instance:

- **circuit level**, by using specific technologies or processes for fabrication (such as epi-CMOS, SOI, additional capacitors in SRAM, or rad-hardened electronic components)
- **design level**, by using *ad hoc* logic structures aiming to SEE immunity (such as SEE immune latches)
- **system level**, by modifying the software and/or the hardware (such as triple redundancy)



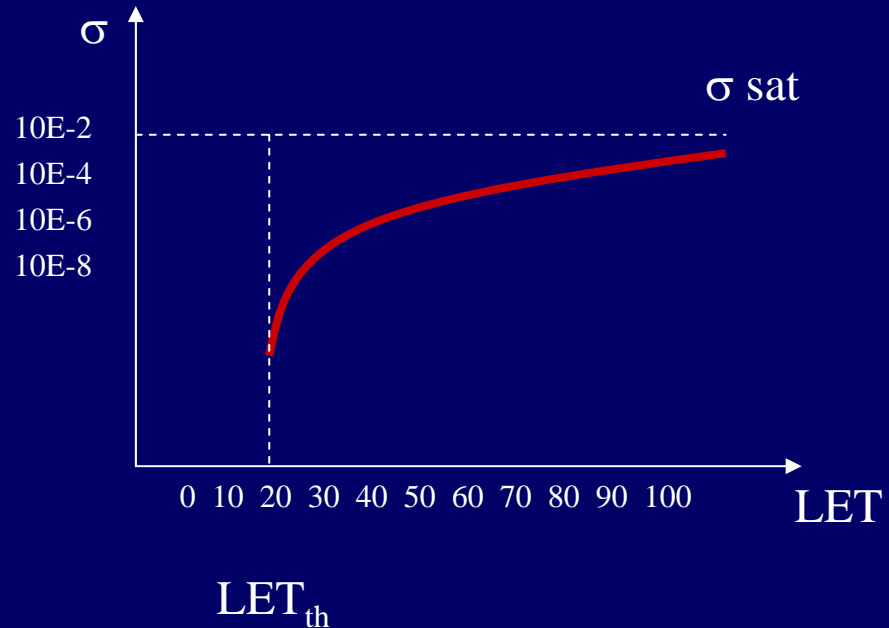
# SEE ground testing

- Accelerated tests are performed to evaluate the expected error / failure rate (**FIT = 1 error / 10<sup>9</sup> hours**) of the device/system in the specific operating environment (Space, HEP, Avionic, ...) by using:
  - Ion beams from accelerators
  - Neutron beams
  - Alpha sources
  - Laser testing
- The SEE sensitivity of each SEE type (SEU, SEL, SEB, ...) in any particular device is evaluated by measuring the corresponding **cross section** vs **LET**:
  - **Cross section** :  $\sigma(\text{LET}) = \# \text{ Events} / \text{particle fluence (cm}^2\text{)}$
- The error rates in operating condition is derived from cross sections and the features (nature of particles, corresponding fluxes, mission duration) of the actual environment
- Error rate = # errors / device day



# SEE cross section

- $LET_{th}$  is the minimum (threshold) LET to cause the specific SEE
- The saturation cross section  $\sigma_{sat}$  is approached at high LET values
- The  $\sigma(LET)$  curve is obtained by measuring the cross section at a few LET values and fitting data with a Weibull curve



<i>Device Threshold</i>	<i>Environment to be Assessed</i>
$LET_{th} < 10 \text{ MeV*cm}^2/\text{mg}$	Cosmic Ray, Trapped Protons, Solar Flare
$LET_{th} = 10\text{-}100 \text{ MeV*cm}^2/\text{mg}$	Cosmic Ray
$LET_{th} > 100 \text{ MeV*cm}^2/\text{mg}$	No analysis required



*R. Velazco, EWRHE 2004*

# Conclusions

- Single event effects are produced by collecting the charge generated (usually in the semiconductor) by a single ionizing particle: charge > **critical charge**
- The e-h track may include more than one active device (e.g., MOSFET)
- Different SEE's may occur depending on the device type, being either **soft** or **hard** in nature
- Each SEE for a specific device is characterized by its **cross section** and **threshold LET**
- SEE's are expected not only in radiation harsh environments but also at sea level
- ...more SEE fun to come in the next lecture!

